

5.7

Binary Search Trees

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5.7 Binary Search Tree Definition

A **binary search tree (BST)** is a binary tree which satisfies the following properties:

- Every element has a **key** and no two elements have the same key.
- The keys (if any) in the **left subtree** are **smaller** than the key in the root
- The keys (if any) in the **right subtree** are **larger** than the key in the root
- The left and right subtrees are also BST

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BST: Examples

NO!

YES!

Inorder traversal?

Inorder traversal of a BST will result in a sorted list.

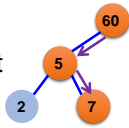
BST: Operations

- Search an element in a BST
- Search for the r^{th} smallest element in a BST
- Insert an element into a BST
- Delete max/min from a BST
- Delete an arbitrary element from a BST

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BST: Search an Element

1. Search for key 7
2. Start from root
3. Compare the key with root
 - If '<' search the left subtree
 - If '>' search the right subtree
4. Repeat step 3 until the key is found or a leaf is visited



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BST: Recursive Search Codes

```

template < class K, class E >
pair<K,E>* BST<K,E>::Get(const K& k)
{ // Search the BST for a pair with key k
  // If this pair is found, return a pointer to this
  // pair, otherwise return 0
  return Get(root, k);
}

template < class K, class E >
pair<K,E>* BST<K,E>::Get(TreeNode<pair<K,E>>* p, const K& k)
{
  if(!p) return 0;
  if(k < p->data.key) return Get(p->leftChild, k);
  if(k > p->data.key) return Get(p->rightChild, k);
  return &p->data;
}
    
```

p->data.key = key
p->data.element = element

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TreeNode Review

```

template <class T > class Tree; // Forward declaration

template < class T >
class TreeNode {
friend class Tree <T>;
private:
    T data;
    TreeNode<T>* leftChild;
    TreeNode<T>* rightChild;
};

template < class K, class E >
class pair {
private:
    K key;
    E element;
}
    
```

BST: Iterative Search Codes

```

template < class K, class E >
pair<K,E>* BST<K,E>::Get(const K& k)
{
    TreeNode < pair<K, E> > *currentNode = root;
    while (currentNode) {
        if (k < currentNode->data.key)
            currentNode = currentNode->leftChild;
        else if (k > currentNode->data.key)
            currentNode = currentNode->rightChild;
        else return &currentNode->data;
    }
    return NULL; // no match found
}
    
```

BST: Search an Element by Rank

- Definition of rank:
 - A **rank** of a node is its position in inorder traversal

```

graph TD
    30((30)) --- 5((5))
    30 --- 40((40))
    5 --- 2((2))
    
```

Inorder traversal : 2 → 5 → 30 → 40
 Rank : 1 2 3 4

Therefore, the r^{th} smallest element is the node with rank r

BST: Search by Rank, Codes

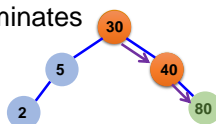
- For each node, we store an additional information "leftSize" which is 1 + (# of nodes in the left subtree)

```
template < class K, class E >
pair<K,E>* BST<K,E>::RankGet(int r)
{ // Search BST for the rth smallest pair
  TreeNode<pair<K,E>>* currentNode = root;
  while(currentNode){
    if(r < currentNode->leftSize)
      currentNode = currentNode->leftChild;
    else if(r > currentNode->leftSize) {
      r -= currentNode->leftSize;
      currentNode = currentNode->rightChild;
    }
    else return &currentNode->data;
  }
  return 0;
}
```

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BST: Insert

- To insert an element with key 80
- First we search for the existence of the element
- If the search is unsuccessful, then the element is inserted at the point the search terminates



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BST: Insert Codes

```
template < class K, class E >
void BST<K,E>::Insert(const pair<K,E>& thePair)
{ // Search for key "thePair.key", pp is the parent of p
  TreeNode<pair<K,E>>* p = root, *pp=0;
  while(p){
    pp = p;
    if(thePair.key < p->data.key)
      p = p->leftChild;
    else if(thePair.key > p->data.key)
      p = p->rightChild;
    else // Duplicate, update the value of element
      { p->data.element = thePair.element; return; }
  }
  // Perform the insertion
  p = new pair<K,E>(thePair);
  if(root) // tree is not empty
    if(thePair.key < pp->data.key) pp->leftChild = p;
    else pp->rightChild = p;
  else root = p;
}
```

BST: Min or Max Element

- **Min (Max)** element is at the **leftmost (rightmost)** of the tree

- Min or max are not always terminal nodes
- Min or max has **at most one child**

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BST: Delete

- To delete an element with key k
- Search for the key k
- If the search is unsuccessful, no need to do anything.
- If the search is successful, we have to deal three scenarios
 - 1) The element is a **leaf** node
 - 2) The element is a **non-leaf** node with **one child**
 - 3) The element is a **non-leaf** node with **two children**

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BST: Delete

- Scenario 1: the element is a leaf node

- The child field of parent node is set to NULL
- Dispose the node

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BST: Delete

- Scenario 2: the element is a non-leaf node with one child

- Simply change the pointer from the parent node (i.e. node with key 30) to the single-child node (i.e. node with key 2)
- Dispose the node

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BST: Delete

- Scenario 2: the element is a non-leaf node with one child

- Simply change the pointer from the parent node (i.e. node with key 30) to the single-child node (i.e. node with key 2)
- Dispose the node

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BST: Delete

- Scenario 3: the element is a non-leaf node with two children

- The deleted element is replaced by either
 - the **smallest** element in **right** subtree or
 - the **largest** element in **left** subtree

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BST: Delete

- Scenario 3: the element is a non-leaf node with two children

```

    graph TD
      35((35)) --- 5((5))
      35 --- 40((40))
      5 --- 6((6))
      5 --- 7((7))
      40 --- 41((41))
      style 35 fill:#f96
      style 35 stroke:#f00
  
```

- Delete the node
 - It is a leaf node → apply scenario 1!

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BST: Delete

- Scenario 3: the element is a non-leaf node with two children

```

    graph TD
      35((35)) --- 5((5))
      35 --- 40((40))
      5 --- 6((6))
      5 --- 7((7))
      40 --- 41((41))
      style 35 fill:#f96
      style 35 stroke:#f00
  
```

- Delete the node
 - It is a leaf node → apply scenario 1!

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BST: Delete

- Scenario 3: the element is a non-leaf node with two children

```

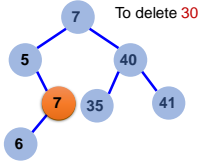
    graph TD
      30((30)) --- 5((5))
      30 --- 40((40))
      5 --- 6((6))
      5 --- 7((7))
      40 --- 41((41))
      style 30 fill:#f96
      style 30 stroke:#f00
      style 7 fill:#f96
      style 7 stroke:#f00
  
```

- The deleted element is replaced by either
 - the **smallest** element in **right** subtree or
 - the **largest** element in **left** subtree

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BST: Delete

- Scenario 3: the element is a non-leaf node with two children

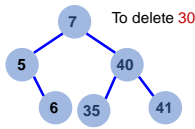


- Delete the node
 - It is a non-leaf node with one child → apply scenario 2!

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BST: Delete

- Scenario 3: the element is a non-leaf node with two children



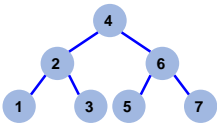
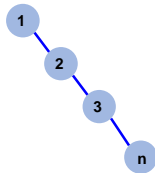
- Delete the node
 - It is a non-leaf node with one child → apply scenario 2!

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BST: Time Complexity

- Search, insertion, or deletion takes $O(h)$
- h = Height of a BST

- Worst case $h = n$ • Best case $h = \log n$
 - Insert keys 1,2,3,...
 - Insert keys : 4, 2, 6, 1, 3, 5, 7



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Self-Study Topics

- Write the pseudo code of BST deletion
- Selection trees
- AVL trees (Ch. 10)
 - Worst case height : $O(\log n)$



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